

Docket No. 3635-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stephen J. HODGDON et al.

Group Art Unit: 3624

Serial No.: 09/259,770

Examiner: Jagdish PATEL

Filed: February 26, 1999

For: METHOD OF RISK MANAGEMENT AND OF ACHIEVING A RECOMMENDED
ASSET ALLOCATION AND WITHDRAWAL STRATEGY, AND COMPUTER
READABLE MEDIUM, APPARATUS AND COMPUTER PROGRAM THEREOF

AMENDMENT FEE TRANSMITTAL

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.☐ The additional fee has been calculated as shown below:CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	38 -	89	0	\$18.00/ \$9.00	\$ 0.00
Independent Claims	6 -	29	0	\$84.00/ \$42.00	\$ 0.00
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$280.00 to additional fee (\$140.00 for small entity).				\$ 0.00
TOTAL					\$ 0.00

*Includes all independent and single dependent claims and all claims referred to in multiple

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dependent claims. See 37 C.F.R. §1.75(c).

- ☐ Small entity status is or has been claimed.
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$
- ☐ _____ Pages Sequence Listing
- ☐ _____ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$_____ to cover the filing fee is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3635-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.Dated: June 20, 2003By: Matthew K. Blackburn
Registration No. 47,428Correspondence Address:MORGAN & FINNEGAN, L.L.P.
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FAX COVER SHEET

TO	COMPANY	FAX	PHONE
Examiner Jagdish PATEL, Group: 3624	U.S. Patent & Trademark Office	(703) 305-7687	
FROM:	Matthew K. Blackburn	DATE:	June 20, 2003
IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL:	(212) 415-8771	CLIENT/MATTER:	3635-4000
CONFIRM:		PAGES (INCLUDING COVER):	19

COMMENTS:

Appl. 09/259,770 ✓

ORIGINAL

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Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

Sir:

I hereby certify that the attached Amendment under 37 C.F.R. 1.111 and Amendment Fee
Transmittal (No Fee Due) are being transmitted on the date shown below to the Examiner
Jagdish PATEL of Group No. 3624 at the following facsimile number: (703) 305-7687.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 20, 2003

By: 

Matthew K. Blackburn
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